



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): DEPIANO et al.

SERIAL NO.: 10/798,142

ART UNIT:

FILING DATE: March 11, 2004

EXAMINER:

TITLE: OPTICAL WAVELENGTH CONTROL SYSTEM

ATTORNEY

DOCKET NO.: 871-011711-US(PAR)/36030289

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. §1.97(b)(3))

Sir:

This information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following references:

US 5,825,792
US 6,377,592
US 6,094,446
US 6,384,947

US 5,781,572

WO 01/11739

JP 07095159


"Design and Manufacturability Issues of a Co-packaged DFB/MZ
Module" (pp. 197-200)

Copies of these references are enclosed together with a Form PTO-1449.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,



Joseph V. Gamberdell, Jr.
Reg. No. 44,695

14 April 2004

Date

PERMAN & GREEN, LLP
425 Post Road
Fairfield, CT 068424
Customer No. 2512

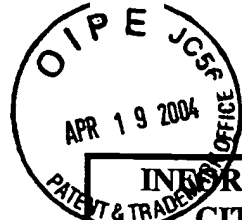


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 4/14/04

Signature: Shannon Watt
Shannon Watt

**INFORMATION DISCLOSURE
CITATION FORM FOR
PATENT APPLICATION
(FORM PTO-1449)
(Substitute)**

Docket No.: 871-011711-US (PAR)

Serial No.: 10/798,142

Applicant(s): DEPIANO et al.

Filing Date: March 11, 2004

Group:

U.S. PATENTS

Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
	5,825,792	10/20/98	Villeneuve et al.	372	32	
	6,377,592	4/23/02	Auracher et al.	372	9	
	6,094,446	7/25/00	Tei et al.	372	32	
	6,384,947	5/7/02	Ackerman et al.	359	187	
	5,781,572	7/14/98	Tahara et al.	372	34	

U.S. PATENT PUBLICATIONS

Initials	Publication No.	Pub. Date	Name	Class	Sub-class	Filing Date

FOREIGN PATENT DOCUMENTS

Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a
	WO 01/11739 JP 07095159	15.02.01 04.07.95	PCT Japan	CORETEK, INC. Tokyo Electric Power Co. Inc.	N/A No

OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)

	K. Anderson, "Design and Manufacturability Issues of a Co-packaged DFB/MZ Module", IEEE Electronic Components and Technology Conference, 1999, pages 197-200.
	Patent Abstract of Japan, European Patent Office, JP 07095159, Tokyo electric Power Co. Inc.

Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered.
Include a copy of this citation form with your next correspondence to the Applicant(s).

Customer No.: 2512